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charles SCHWAB
INSTITUTIONAL

SchwabAlliance.com Custom Views Advisor Enrollment and Preferences Form

Investment Advisor ("IA") Information (This portion to be completed by IA.)

IA Firm Name (please print): NBZ INVESTMENT ADVISORS LLC

IA Master Account Number: ______________________ Service Team: ______________

IA Contact Information (if follow-up is required): ______________________

This form allows for the customization of your SchwabAlliance.com views. This form will allow you to specify at the FA master account or subaccount level what view end clients will receive when they log in to their accounts.

Pick the SchwabAlliance.com experience that is right for your firm and your clients.

1. **Standard view**—This is the default experience, where clients can access balances, history, positions, and research, in addition to having access to trading and money movement features.
2. **Trade and Transfer Reminder view**—This view presents a prompt to your clients anytime they attempt to place a trade or transfer funds online. The purpose of this view is to remind clients to discuss their actions with their advisor before acting in the account.
3. **Limited view**—This view shows only basic account information and restricts transactional functionality, including trading, outgoing money movement, research, and setting up account alerts.

1. Type of Enrollment

- ○ New enrollment
- ○ Update to existing preferences

2. Account Information and Preferences

Account Number List one or more of the following: Master account number(s) Individual account number(s)	**Select Account Level for Enrollment*** **Firm-Level.** All current master accounts and their linked subaccounts (current and new) for this form are enrolled. **Master-Level.** All current and new subaccounts for listed master accounts are enrolled. **Individual.** Only the account listed is enrolled.			**Select a Custom View Preference** ✓ = Activate this view for listed accounts. Blank = No action needs to be taken. Click here to undo check marks below		
	Firm Level	**Master Level**	**Subaccount**	**Standard**	**Trade and Transfer Reminder**	**Limited**
Ex. 0888-0000	✓			✓		

*Account owners can contact Schwab and request that the custom view is removed from their account.

3. Please Read and Sign

The signature of an authorized agent of the IA is required below. This authorizes Schwab to enroll and/or update the preferences of the above-referenced account(s) in accordance with the instructions given in this document.

▶ ______________________________ Date ______________

Signature of Authorized Agent of IA (mm/dd/yyyy)

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Print Name

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